

#3
OC
10 March



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of

Docket No.: Q63638

Toshihiro ARUGA

Appln. No.: 09/810,220

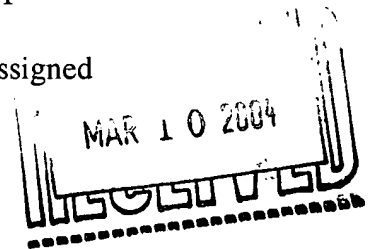
Group Art Unit: 2181

Confirmation No.: 6913

Examiner: not yet assigned

Filed: March 19, 2001

For: PORTABLE TERMINAL APPARATUS
WITH SECURITY FUNCTION



INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RECEIVED

MAR 09 2004

Technology Center 2100

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, along with a copy of the corresponding Communication from the European Patent Office dated February 11, 2004.

1. European Patent Application No. EP 0 965 938 A2 dated December 22, 1999.
2. European Patent Application No. EP 0 843 290 A2 dated May 20, 1998.
3. European Patent Application No. EP 0 887 774 A2 dated December 30, 1998.
4. Japanese Patent Publication No. 11-030953 dated February 2, 1999. (For the Examiner's convenience, an English abstract only of this reference is being supplied. This

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 09/810,220

Atty. Docket No.: Q63638

reference was submitted previously with the Information Disclosure Statement of March 19, 2001.)


5. U.K. Patent Application No. GB 2 338 832 A dated December 29, 1999.
6. PCT Patent Publication No. WO 95/04328 dated February 9, 1995.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,


Howard L. Bernstein
Registration No. 25,665

SUGHRUE MION, PLLC
Telephone: (202) 293-7060
Facsimile: (202) 293-7860

WASHINGTON OFFICE
23373
CUSTOMER NUMBER

Date: March 8, 2004



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No.: Q63638

Toshihiro ARUGA

Appln. No.: 09/810,220

Group Art Unit: 2181

Confirmation No.: 6913

Examiner: not yet assigned

Filed: March 19, 2001

For: PORTABLE TERMINAL APPARATUS
WITH SECURITY FUNCTION

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RECEIVED
MAR 09 2004
Technology Center 2100

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, along with a copy of the corresponding Communication from the European Patent Office dated February 11, 2004.

1. European Patent Application No. EP 0 965 938 A2 dated December 22, 1999.
2. European Patent Application No. EP 0 843 290 A2 dated May 20, 1998.
3. European Patent Application No. EP 0 887 774 A2 dated December 30, 1998.
4. Japanese Patent Publication No. 11-030953 dated February 2, 1999. (For the Examiner's convenience, an English abstract only of this reference is being supplied. This

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 09/810,220

Atty. Docket No.: Q63638

reference was submitted previously with the Information Disclosure Statement of March 19, 2001.)

5. U.K. Patent Application No. GB 2 338 832 A dated December 29, 1999.


6. PCT Patent Publication No. WO 95/04328 dated February 9, 1995.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under § 1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,


Howard L. Bernstein
Registration No. 25,665

SUGHRUE MION, PLLC
Telephone: (202) 293-7060
Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: March 8, 2004

Substitute for Form 1449 A & B/PTO

Complete if Known

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Application Number	09/810,220
Confirmation Number	6913
Filing Date	March 19, 2001
First Named Inventor	Toshihiro ARUGA
Art Unit	2181
Examiner Name	not yet assigned
Attorney Docket Number	Q63638

Sheet 1 of 1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US			
		US			
		US			
		US			
		US			
		US			
		US			
		US			
		US			

RECEIVED

MAR 09 2004

Technology Center 2100

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
		EP	0 965 938 A2		12-22-1999	NCR INTERNATIONAL, INC.	
		EP	0 843 290 A2		05-20-1998	Susumu MIYAKE	
		EP	0 887 774 A2		12-30-1998	HITACHI, LTD. and HITACHI VIDEO ENGINEERING, INC.	
		JP	11-030953		02-02-1999	HITACHI, LTD.	English abstract
		GB	2 338 832 A		12-29-1999	NEC TECHNOLOGIES (UK) LTD.	
		WO	95/04328		02-09-1995	INTELLECT AUSTRALIA PTY. LTD.	

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶

Examiner Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.